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# The Milk Protein Alpha-Casein Suppresses Triple Negative Breast Cancer Stem Cell Activity Via STAT and HIF-1alpha Signalling Pathways in Breast Cancer Cells and Fibroblasts

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## Abstract

Triple negative breast cancer (TNBC) is the most lethal breast cancer subtype. Extended periods of lactation protect against breast cancer development, but the mechanisms underlying this protection are unknown. We examined the effects of the milk protein alpha-casein over expression in the triple negative MDA-MB-231 breast cancer cell line. The effects of recombinant alpha-casein added exogenously to MDA-MB-231 breast cancer cells, and immortalised human fibroblasts were also investigated. We used transcriptional reporters to understand the signalling pathways downstream of alpha-casein in breast cancer cells and these fibroblasts that were activated by breast cancer cells. To extend our findings to the clinical setting, we analysed public gene expression datasets to further understand the relevance of these signalling pathways in triple negative breast cancer cells and patient samples. Finally, we used small molecular inhibitors to target relevant pathways and highlight these as potential candidates for the treatment of TN breast cancer. High levels of alpha-casein gene expression were predictive of good prognosis across 263 TNBC patient tumour samples. Alpha-casein over expression or exogenous addition reduces cancer stem cell (CSC) activity. HIF-1alpha was identified to be a key downstream target of alpha-casein, in both breast cancer cells and activated fibroblasts, and STAT transcription factors to be upstream of HIF-1alpha. Interestingly, HIF-1alpha is regulated by STAT3 in breast cancer cells, but STAT1 is the regulator of HIF-1alpha in activated fibroblasts. In analysis of 573 TNBC patient samples, alpha-casein expression, inversely correlated to HIF-1alpha, STAT3 and STAT1. STAT1 and STAT3 inhibitors target HIF-1alpha signalling in activated fibroblasts and MDA-MB-231 breast cancer cells respectively, and also abrogate CSC activities. Our findings provide an explanation for the protective effects of lactation in TNBC. Clinical data correlates high alpha-casein expression with increased recurrence-free survival in TNBC patients. Mechanistically, alpha-casein reduces breast cancer stem cell activity in vitro, and STAT3 and STAT1 were identified as regulators of pro-tumorigenic HIF-1alpha signalling in breast cancer cells and fibroblasts respectively.

**Keywords** Breast cancer stem cells · Cancer activated fibroblasts · HIF-1alpha. STAT

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## Abbreviations

TNBC	Triple negative breast cancer
CAF	Cancer associated fibroblast
BCSC	Breast cancer stem cell
TME	Tumour microenvironment
RFS	Recurrence free survival
ER	Estrogen receptor
PR	Progesterone receptor

## Background

Breast cancer is the most common malignancy, and the biggest cancer-related killer of women worldwide [1]. Risk factors such as early menarche, late menopause, and late first pregnancy (after 30) are all associated with an increase in

breast cancer risk [2–4]. Inversely, early first pregnancy, multiple births and extended periods of lactation confer a reduced risk of developing breast cancer [5]. Notably, the protective effects of lactation are most profound in the aggressive triple negative subgroup [2, 3, 6], but the physiological reasons for this phenomenon remain unclear.

Triple negative breast cancer (TNBC), as defined by the lack of estrogen (ER), progesterone receptors (PR) and low expression of HER2 receptor [7] is the most lethal breast cancer, and there are currently no convincing targeted therapies [8]. By definition, TNBC is not treatable by common targeted anti-cancer drugs, as they lack the receptors capable of responding to them. A signature of this subgroup is an enrichment for CD24+ / CD44+ and ALDH1 [9, 10], which confer a breast cancer stem cell phenotype [11]. Breast cancer stem cells (BCSC) are a small population of cells that reside within the tumour mass that are capable of self-renewal, but also to repopulate all the cell types within the heterogeneous tumour mass [12, 13]. BCSC possess increased tumour initiating properties and metastatic potential [14]. BCSC are proposed to be responsible for the chemotherapy resistance and lethal metastasis associated with TNBC, and the targeting of such cells is a promising strategy in its treatment.

Another factor important in tumour progression is the tumour microenvironment (TME). One of the most abundant cell types in the TME are fibroblasts, which become ‘activated’ by surrounding cancer cells to acquire a ‘cancer associated fibroblast’ (CAF) phenotype [15, 16]. CAFs are phenotypically different to their normal fibroblast counterparts, and are defined by expression of alpha-smooth muscle actin (alpha-SMA) and fibroblast activation protein-alpha (FAP-alpha) [17, 18]. CAFs promote cancer progression by providing secretory factors that promote migration and invasion [19, 20], and to promote the BCSC phenotype [21].

Extended periods of lactation are protective against TNBC. The milk protein alpha-casein was shown to reduce tumour burden and experimental lung metastasis when over expressed in mouse Met-1 tumour cells (22). In the present study, we assessed the effects of alpha-casein on BCSC activity in vitro and found alpha-casein to significantly reduce BCSC in the triple negative MDA-MB-231 cell line. Mechanistically, we showed this activity to be mediated by HIF-1alpha, a hypoxia inducible transcription factor closely associated with the induction and maintenance of a BCSC phenotype [23–25]. We further investigated the effects of alpha-casein on the TME and show alpha-casein to also regulate HIF-1alpha signalling in CAFs. We identified STAT3 and STAT1 to be crucial transcription factors in regulating HIF-1alpha in breast cancer stem cells and CAFs respectively, and used inhibitors to demonstrate their roles in HIF-1alpha regulation and CSC activity.

## Methods

### Cell Lines and Culture

The human MDA-MB-231 mammary tumour cells were obtained from ATCC (ATCC® HTB-26™). The human hTERT immortalised human fibroblasts (BJ1) were obtained from CLONTECH Laboratories (CCD00309196). Cell lines were passaged for <25 passages and regularly checked for mycoplasma. Cells were cultured in complete DMEM (Sigma-Aldrich) supplemented with 10% heat inactivated foetal bovine serum, 2 mM GlutaMAX (L-alanyl-L-glutamine), 100 U/ml Penicillin and 100 µg/ml Streptomycin and incubated at 37 °C with 5% CO<sub>2</sub>. All incubations performed at 37 °C with 5% CO<sub>2</sub>.

### Stable Cell Line Generation

All stable cell lines were generated using lentiviral transduction. Alpha-casein (EX-S0252-Lv105) and empty vector control (EX-NEG-Lv105) expression plasmids were purchased from GeneCopoeia. Lentiviral particles were produced using the Lenti-Pac HIV expression Packaging kit and 293Ta packaging cells both obtained from GeneCopoeia as per manufacturers’ instructions, and viral supernatant was used to infect target cells. Pre-made Signal™Lenti reporter constructs purchased from Qiagen and used to infect target cells to generate stable reporter cell lines as per the manufacturer’s instructions. These reporter constructs consist of a luciferase reporter gene fused to the applicable transcriptional response element (TRE) for each signalling pathway (details Table 1), and are used to quantitatively measure changes in signalling pathway activation in response to different stimuli. Two days following infection, successfully transduced cells were selected for using 2 µg/ml Puromycin or 1 mg G418 Geneticin® for 14 days.

### Production of Conditioned Media

$2 \times 10^4$  cells / cm<sup>3</sup> were cultured in 7.5 ml / cm<sup>3</sup> of low serum (Nu-serum) media for 48 h. media was collected and centrifuged to remove debris and supernatant collected.

### Western Blotting

**Primary Antibodies** Human alpha-casein antibody F20.14 (Abcam ab47972). STAT1 #9172, Phospho-STAT1 (Tyr 701) #9167, Phospho-STAT3 (Tyr 705) #9131 purchased from Cell Signalling (1:1000). β-actin loading control (Sigma-Aldrich A2228) (1:10000).

Cell lysates were collected using RIPA lysis buffer (Sigma-Aldrich) with cOmplete ULTRA protease inhibitor (Roche)

**Table 1** Transcriptional response elements used in luciferase reporter constructs

Reporter construct	Pathway	Transcription factor	TRE
Cignal lenti ISRE reporter (luc) CLS-008 L	Type I IFN	STAT1/STAT2	TAGTTTCACTTTCCC
Cignal lenti GAS reporter (luc) CLS-009 L	Type II IFN	STAT1/STAT1	AGTTTCATATTACTCTAAATC
Cignal lenti STAT3 (luc) CLS-6028 L	STAT3	STAT3	GTCGACATTTCCCGTAAATCGTCGA
Cignal lenti NF- $\kappa$ B (luc) CLS-013 L	NF- $\kappa$ B	NF- $\kappa$ B	GGGACTTTCC
Cigna lenti HIF-1 $\alpha$ (luc) CLS-007 L	Hypoxia	HIF-1 $\alpha$	TACGTGCT

and PhosSTOP (Roche) phosphatase inhibitor. 20  $\mu$ g protein sample was loaded onto 12% polyacrylamide gel and transferred to nitrocellulose membranes. Membrane was blocked in 5% bovine serum albumin for 1 h at room temperature and then probed with primary antibody, diluted 1:1000 in blocking solution, and incubated at 4 °C overnight. Anti-mouse or anti-rabbit secondary antibodies (1:500) were added and incubated at room temperature for 1 h. Protein was detected using SuperSignal™ West Pico or SuperSignal™ West Dura chemiluminescent Substrate (ThermoFisher) and developed on Kodak film.

### Mammosphere Assay

Non-adherent mammosphere culture plates were made by covering clear 6 well plates with 2 ml poly-HEMA and incubated at 60 °C for 3 days. Cells were syringed through a 25 gauge needle to provide cells in single cell suspension. A 1:1 volume of Trypan blue was used to count viable cells, and  $5 \times 10^3$  single cells added to each well in DMEM/F-12 (Sigma-Aldrich) with B27 supplement, 20 ng/ml EGF and 100 U/ml Penicillin and 100  $\mu$ g/ml Streptomycin. Plates were then incubated for 5 days, and spheres  $>50 \mu$ M counted at 10 x magnification. The mammosphere formation efficiency (MFE) was calculated by dividing the number of spheres  $>50 \mu$ M after 5 days by the number of cells seeded, and is expressed as a percentage.

### ALDEFLUOR™ Assay

$2 \times 10^5$  cells were incubated with or without drug treatment for 48 h.  $2 \times 10^5$  were re-suspended in 1 ml Duplecco's PBS. Dry Aldefluor reagent was reconstituted as per manufacturers' instructions. 5  $\mu$ l Aldefluor reagent was added and 500  $\mu$ l sample removed and added to a fresh eppendorf tube containing 10  $\mu$ l DEAB to stop the reaction. This is the control sample. Cells were then incubated at 37 °C, 5% CO<sub>2</sub> for 40 min, and centrifuged at 4 °C. Cell pellets were then re-suspended in 500  $\mu$ l Aldefluor assay buffer and analysed using the BD Laser Fortessa cell analyser (Biosciences).

### Luciferase Reporter Assays

$5 \times 10^3$  reporter cells were seeded in triplicate in 96 well black plates and incubated overnight. Culture medium was removed and cells washed with PBS, and 100  $\mu$ l control media, conditioned media or drug treatment was then added. Plates were then incubated for 24, 48 or 72 h as per experimental design. Reporter cells were fluorescently labelled to normalise luciferase activity. Following fluorescence reading, cells were lysed and luciferase activity measure using the Promega luciferase system and read on a IVIS® luminometer (PerkinElmer).

### PrestoBlue™ Proliferation

$1 \times 10^4$  cells per well were seeded in triplicate in 96 well black plates, and incubated for 8 h for cells to adhere. 20  $\mu$ l PrestoBlue® reagent was added and incubated for 1 h and fluorescence (excitation 544 nm, emission 621–10 nm) measured using a FLUOstart Omega microplate reader (BMG Labtech) for T = 0 timepoint. Further plates are incubated for 24, 48 and 72 h, and fluorescence measured as before. Fluorescence readings were normalised by T = 0 h.

### Transwell Migration Assay

$5 \times 10^4$  cells were added to the top of 8  $\mu$ M pore cell culture inserts (Transwells) (Falcon) placed in 24-well plates in serum-free media. Cells were allowed to migrate through the membrane for 6 h at 37 °C, towards 10% foetal bovine serum placed in the bottom wells to serve as chemoattractant. Non-invasive cells were removed from the top of the wells using cotton swabs, and migrated cells stained with crystal violet solution. After 20 min, wells were washed in H<sub>2</sub>O and membranes left to dry overnight. The number of migrated cells was calculated using a bright-field microscope, where the number of cells in six fields of view per membrane (20x objective) were counted. An average number was calculated over 3 independent experiments, in duplicate, carried out on independent days.

## Statistical Methods

All graphs were produced in Graphpad Prism 7, and this software was used for statistical analysis. Data are shown using the standard error of the mean ( $\pm$ SEM), taken over 3 biological replicates with 2 or 3 technical replicates. Statistical significance was calculated using parametric testing, assuming equal variance, with un-paired Student's *t* test used to confirm statistical significance. Statistical significance was governed as a *p* value  $\leq 0.05$ . Gene expression levels of alpha-casein signalling genes were retrieved from 17 Affymetrix datasets integrated to remove batch effects as previously described [26]. Similarly, Affymetrix gene expression data from three breast cancer cell line panel studies were integrated as described previously [26]. Cox proportional hazards survival analysis was performed for all possible points-of-separation (low–high cut-off points) for each gene (Pearce DA, Nirmal AJ, Freeman TC, Sims AH. Continuous biomarker assessment by exhaustive survival analysis. *bioRxiv* 2017. doi: <https://doi.org/10.1101/208660>). Gene expression levels of alpha-casein between normal and invasive ductal carcinoma were explored with dataset GSE10780 [27].

## Results

### Alpha-Casein Expression Correlates with Improved Clinical Outcome in Triple Negative Breast Cancer, and Reduces Breast Cancer Stem Cell Activity In Vitro

Firstly, we observed that that alpha-casein expression in invasive ductal carcinomas is significantly lower than in normal breast duct tissue (Fig. 1a). We then used a compendium of integrated published gene expression analysis datasets to investigate the relationship of alpha-casein expression to relapse-free survival (RFS) in over 250 triple negative breast cancer patient samples. All possible cut-points were considered, with 45/261 significantly ( $p < 0.05$ ) associating lower alpha-casein expression levels with worse outcomes in terms of relapse-free survival (Fig. 1b). To elucidate the mechanisms for the observed clinical data, we generated stable MDA-MB-231 cell lines over expressing alpha-casein (using lentiviral transduction and selection). Stable empty vector (EV) cell lines were generated as a control. It has previously been shown that alpha-casein reduces tumour growth and metastasis in vivo [22]. However, we found no effect on proliferation and migration in MDA-MB-231 cells (supplementary Figure 1 A&B). In order to test another mechanism to explain the in vivo results, we examined stem cell activity by assaying mammosphere formation. Figures 1 B&F.i show that alpha-casein expression reduces the mammosphere formation efficiency (MFE) of breast cancer cells by nearly half compared to EV control cells. Addition of purified alpha-casein at a

concentration of 500  $\mu$ g/ml also produced a strong inhibition of MFE (Fig. 1d). We wanted to examine if these effects were replicated in other TNBC cell lines, and show that alpha-casein inhibits MFE of MDA-MB-453 cells (Fig. 1e&f.ii) indicating that alpha-casein is not just protective in the MDA-MB-231 TNBC cell line. These in vitro findings are supported by the patient data presented in Fig. 4. However, alpha-casein did not affect MFE in the SUM149 triple negative breast cancer cells (Supplementary Figure 1D), showing that alpha-casein is not protective in all TNBC cell lines. The reasons for resistance to alpha-casein in the SUM149 TNBC cell line would require further investigation.

Next, we tested the effect of alpha-casein overexpression on ALDH enzyme activity using the Aldefluor assay. This has previously reported to be a marker of breast cancer stem cells, and predicts for poor clinical outcome [28]. alpha-casein overexpression reduces the population of ALDH positive cells by nearly half (Fig. 1f). These results suggest the anti-tumourigenic effects of alpha-casein in vivo [22] may partly be explained by the ability of alpha-casein to inhibit cancer stem cell activity.

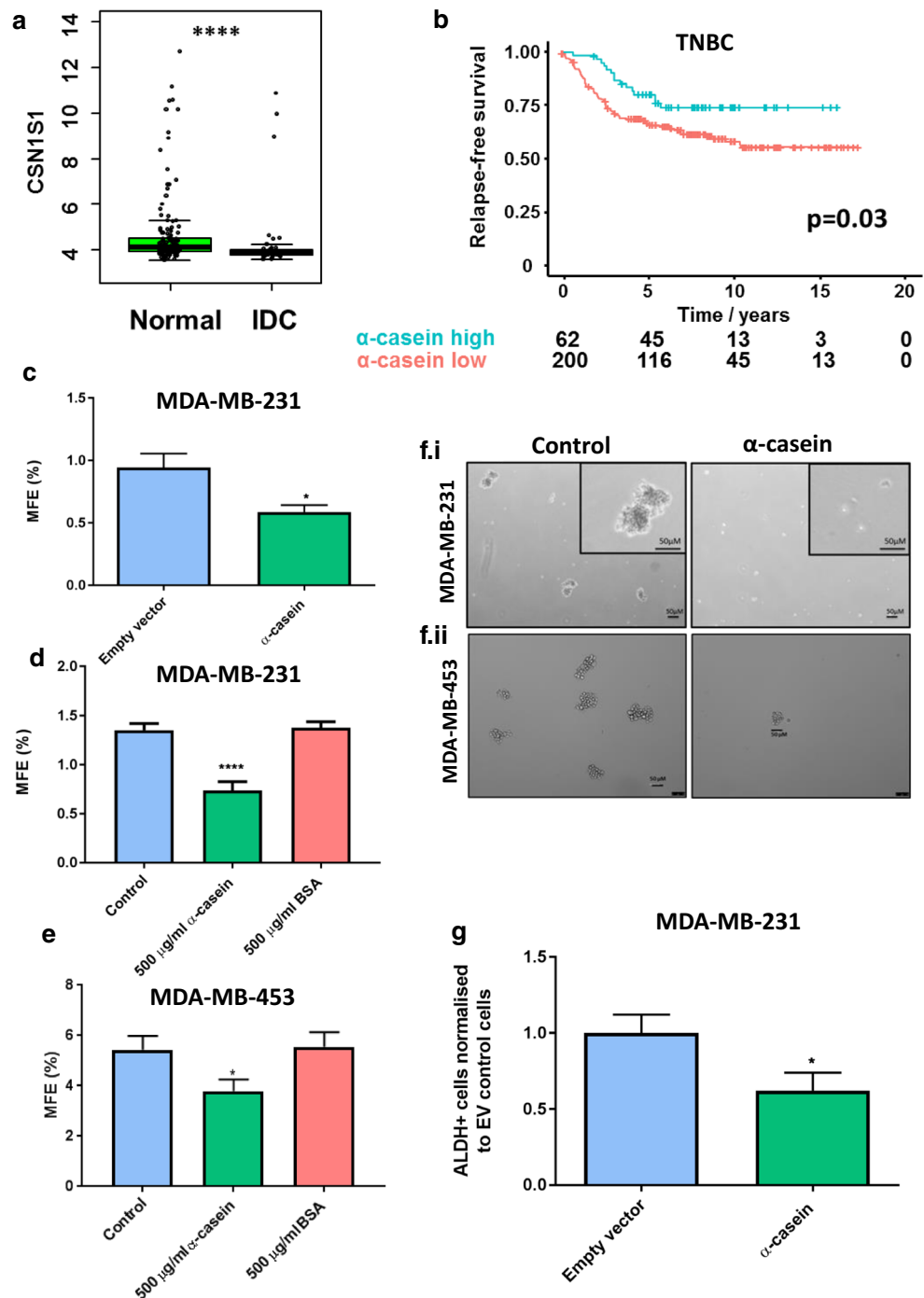
### Alpha-Casein Functions Mechanistically to Target pro-Tumorigenic HIF-1alpha Signalling in Breast Cancer Cells and Fibroblasts

We next wanted to investigate the cellular signalling mechanisms responsible for the inhibitory effects of alpha-casein on BCSC activity. HIF-1alpha stabilisation and upregulation promotes and maintains the BCSC phenotype via multiple mechanisms (Mathieu et al, 2011; Schwab et al, 2012; Zhang et al, 2016). NF- $\kappa$ B is a second well -established signalling pathway that is upregulated in breast cancer, and known to regulate SC activity (Iliopoulos et al, 2009; Iliopoulos et al, 2011; Rinkenbaugh and Baldwin, 2016; Shostak and Chariot, 2011). Thus, we sought to investigate alpha-casein effects on these key CSC signalling pathways in breast cancer.

Stable HIF-1alpha and NF- $\kappa$ B-promoter-luciferase reporter constructs were transduced into MDA-MB-231 cells using lentiviral transduction. MDA-MB-231 breast cancer cells were cultured with purified alpha-casein (versus BSA as a control) for up to 72 h, and changes in cell signalling monitored. We show that alpha-casein inhibits HIF-1alpha signalling in MDA-MB-231 cells in a dose- dependent manner, and by greater than half after 72 h (Fig. 2a), but had no effect on NF- $\kappa$ B signalling (Supplementary figure 1C). We used a similar lentiviral approach as above to generate reporter fibroblast cell lines to study the potential effects of breast cancer cells, and HIF-1alpha signals in the microenvironment. Hyperactivation of HIF-1alpha signalling in fibroblasts is a signature of adoption of a tumour-promoting CAF phenotype [20, 29–31]. We found that breast cancer cells activate HIF-1alpha in fibroblasts



**Fig. 1 Alpha-casein expression correlates with improved clinical outcome in triple negative breast cancer, is lower expressed in cancer tissue and reduces breast cancer stem cell activity in vitro.** **a** The expression level of alpha-casein is significantly ( $p = 7 \times 10^{-6}$ ) higher in normal breast ducts than invasive ductal cancer (IDC). **b** Gene expression analysis of 262 triple negative breast cancer patient samples shows that alpha-casein expression correlates with RFS over 15 years follow-up ( $p = 0.03$ ). **c** Alpha-casein expression reduces the mammosphere formation efficiency (MFE) of triple negative breast cancer cells (MDA-MB-231) by 0.62 fold compared to empty vector (EV) control cells. **d** 500  $\mu\text{g}/\text{ml}$  of purified alpha-casein applied exogenously reduces the ability of MDA-MB-231 cells and **e** MDA-MB-453 triple negative breast cancer cells to form spheres. All  $n = 9$ . **f** Representative images of spheres after 5 days in non-adherent culture **f.i** alpha-casein expression versus empty vector control in MDA-MB-231 cells. **f.ii** 500  $\mu\text{g}/\text{ml}$  alpha casein reduces the ability of MDA-MB-453 cells to form spheres. **g** Alpha-casein expression reduces the population of bright ALDH positive MDA-MB-231 cells by 0.6 fold over an average of 3 independent experiments, in duplicate, compared to EV control.  $n = 6$ . All \*  $p < 0.05$ , \*\*\*\*  $p < 0.0001$  vs controls



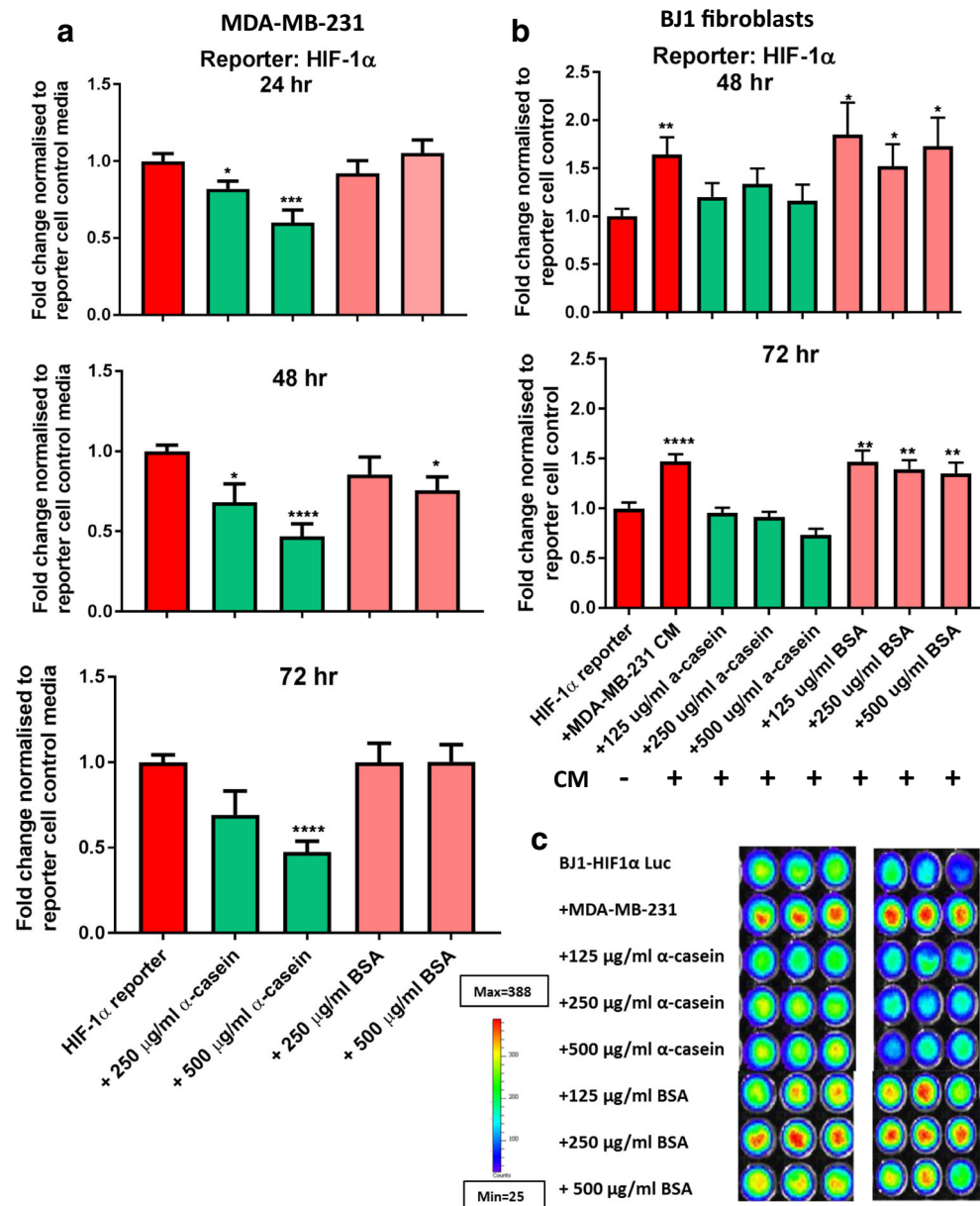
(Fig. 2b and c), an effect that is inhibited back to, or below basal levels following addition of alpha-casein. This suggests that alpha-casein is able to prevent the pro-tumorigenic 'activation' of fibroblasts induced by breast cancer cells. Thus, HIF-1 $\alpha$ , but not NF $\kappa$ B, is a key downstream target of alpha-casein but the mechanism for its activation is unknown. We note that fibroblasts are not exposed to milk proteins in intact homeostatic mammary tissue but envisage that in the context of mammary

remodelling during lactation, weaning and involution, the fibroblasts will be exposed to milk that builds up in the ducts and leaks into the tumour microenvironment.

### Alpha-Casein Inhibits STAT3 and STAT1 in Breast Cancer Cells and Fibroblasts Respectively

STAT3 is a strong candidate for HIF-1 $\alpha$  regulation in breast cancer cells. Previous studies in breast cancer cells

**Fig. 2** Alpha-casein functions mechanistically to target pro-tumorigenic HIF-1 $\alpha$  signalling in breast cancer cells and CAFs. **a** Alpha-casein reduces HIF-1 $\alpha$  signalling in MDA-MB-231 cells by greater than half after 72 h in a dose dependent manner. **b** Conditioned media collected from MDA-MB-231 cells activates HIF-1 $\alpha$  signalling in fibroblasts. Alpha-casein reduces this activation back to, or below basal levels at 48 and 72 h. **c** Representative images of bioluminescence data from **b** shown. All  $n = 9$  \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$  vs reporter alone controls



showed that STAT3 can regulate transcription of HIF-1 $\alpha$  target genes directly [32], but that STAT3 and HIF-1 $\alpha$  also work cooperatively to regulate HIF-1 $\alpha$  target genes via the formation of an ‘enhansome complex’ with CREB binding protein and p300 [32].

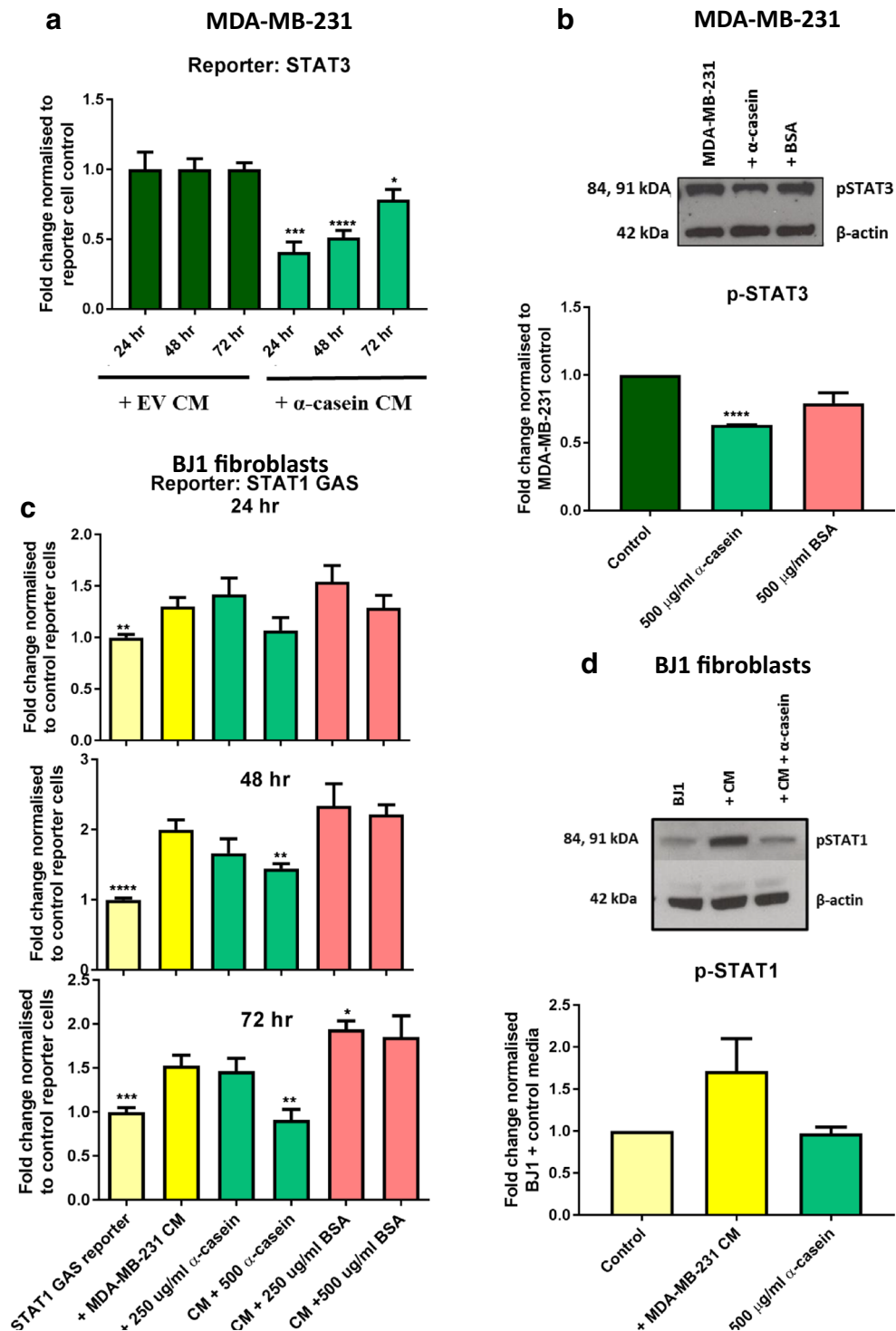
To test this hypothesis, STAT3-luciferase reporter MDA-MB-231 cells were cultured with conditioned media collected from control EV or  $\alpha$ -casein overexpressing MDA-MB231 cells.  $\alpha$ -Casein conditioned media reduces STAT3 reporter activity in breast cancer cells by greater than half, indicating less STAT3 activation (Fig. 3a). This was confirmed by western blot analysis which demonstrated 50% less STAT3 phosphorylation (Fig. 3b). We found no effect on STAT3 activity in fibroblasts (data not shown). In contrast, we identified STAT1 as the

signalling molecule upregulated in fibroblasts (Fig. 3 C&D), but that its activation was inhibited by  $\alpha$ -casein (Fig. 3 c&d). Both the transcriptional and phosphorylation analyses establish that the targets of  $\alpha$ -casein over expression are different in breast cancer cells compared to stromal fibroblasts.

### Alpha-Casein Expression Inversely Correlates with STAT1, STAT3 and HIF-1 $\alpha$ in Basal Breast Cancer

To confirm that  $\alpha$ -casein signalling is relevant to all basal breast cancers, we considered expression of STAT1, HIF-1 $\alpha$  and STAT3 across three integrated breast cancer cell line panel datasets. Note that  $\alpha$ -casein was not detectably

**Fig. 3** Alpha-casein inhibits STAT3 and STAT1 in breast cancer cells and fibroblasts respectively. **a** Conditioned media collected from alpha-casein over expressing MDA-MB-231 cells reduces STAT3 signalling in wild-type (WT) cells by greater than half  $n = 9$ . \*  $p < 0.05$ , \*\*\*  $p < 0.001$ , \*\*\*\*  $p < 0.0001$ , vs reporter with conditioned media collected from EV control cells. **b** The activation of STAT3, as measured by the abundance of phospho-STAT3 (Tyr-705) was examined by western blot. 500  $\mu\text{g/ml}$  alpha-casein reduces STAT3 activation in MDA-MB-231 cells by an average of 0.6 fold over 2 independent experiments.  $n = 2$ . **c** Conditioned media collected from MDA-MB-231 cells activates Type II IFN signalling in fibroblasts, as measured by activation of the STAT1 GAS luciferase reporter. Alpha-casein reduces this activation back to, or below, basal eves after 72 h.  $n = 9$ . \*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ , \*\*\*\*  $p < 0.0001$  vs reporter plus conditioned media **d** STAT1 activation was examined by western blot with an antibody directed against its active, phosphorylated form (Tyr- 701). 500  $\mu\text{g/ml}$  alpha-casein inhibits the activation of STAT1 in fibroblasts by breast cancer cell conditioned media. Average of 2 independent experiments shown in bottom quadrant.  $n = 2$ . \*\*\*\*  $p < 0.0001$  vs control media

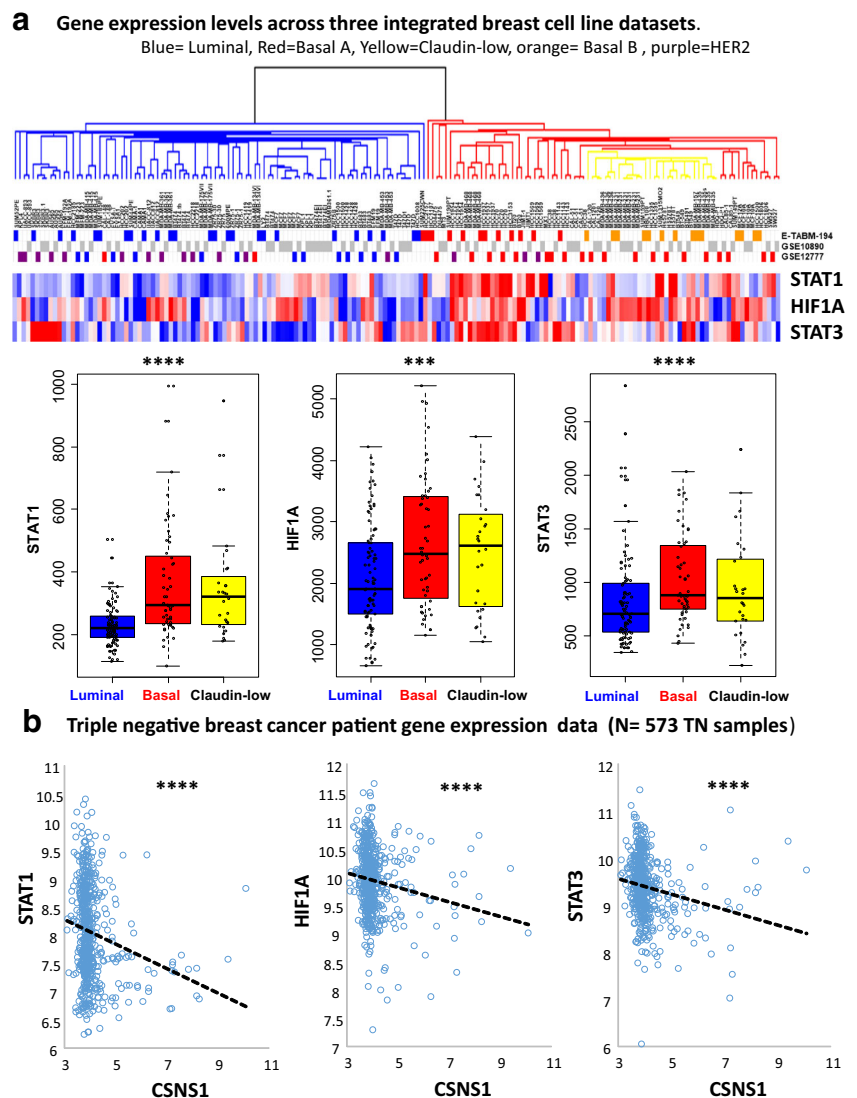


expressed across any of the cell lines. In accordance with our in vitro findings, the heatmap and boxplots in Fig. 4a demonstrate that HIF1- $\alpha$ , STAT3 and STAT1 have increased expression in the basal (TNBC) and claudin-low compared to the luminal breast cancer cell lines when comparing 83 different cell lines, 37 of which are triple-negative. This suggests this is a phenomenon across multiple triple-negative breast cancer

subtypes. We next analysed the correlation between these genes and alpha-casein expression in TNBC patients. Analysis of 573 triple negative samples revealed that alpha-casein expression is significantly, inversely correlated with HIF-1 $\alpha$ , STAT3 and STAT1 (Fig. 4b) ( $p < 0.0001$ ), suggesting the relevance of alpha-casein signalling in TNBC in the clinical setting.



**Fig. 4** alpha-casein expression inversely correlates with STAT3 and HIF-1alpha in basal breast cancer. **a** Heatmap and boxplots showing that HIF-1alpha, STAT1 and STAT3 are upregulated in triple negative and claudin-low breast cancer datasets using unsupervised clustering of 500 most variable genes in 3 integrated breast cancer cell line datasets. **b** Inverse correlation of HIF-1alpha, STAT1 and STAT3 versus alpha-casein expression (CSNS1) in 573 TNBC patient samples (All  $p < 0.0001$ )



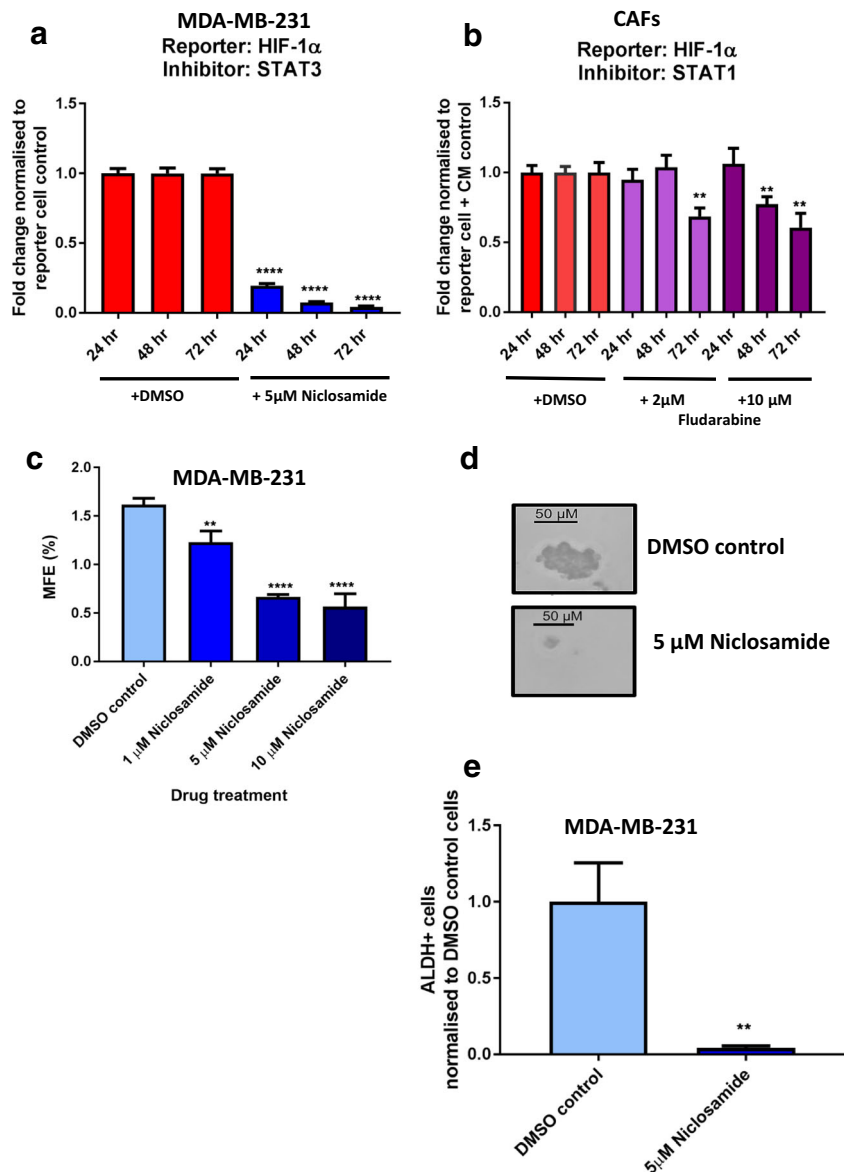
### STAT3 and STAT1 Function Upstream of HIF-1alpha in Breast Cancer Cells and Fibroblasts Respectively

After identifying STAT3 and STAT1 to be potential candidates for HIF-1alpha regulation in breast cancer cells and fibroblasts, we next investigated their direct effect on HIF-1alpha signalling by using small molecule inhibitors of the STAT1 and STAT3 transcription factors. The inhibitors Niclosamide and Fludarabine were functionally active in inhibiting STAT3 and STAT1, respectively (Supplementary figure 2 A&B respectively). STAT3 and STAT1 inhibition reduced HIF-1alpha transcriptional activity in both breast cancer cells (Fig. 5a) and fibroblasts following activation with conditioned media collected from MDA-MB-231 breast cancer cells (Fig. 5b). These results establish that STAT3 and STAT1 function upstream of HIF-1alpha in TNBC cells and fibroblast cells, respectively.

### Targeting STAT3 Reduces BCSC Activity

Since STAT3 inhibition strongly abrogated HIF1-alpha signalling, we tested the effects of Niclosamide on BCSC activity using colony formation and ALDH activity. Niclosamide treatment significantly inhibited the MFE (%) of MDA-MB-231 cells by up to 70% at the highest concentration (Fig. 5c & d). In addition, Niclosamide almost completely abolished ALDH activity in MDA-MB-231 cells (Fig. 5e). Therefore, we propose a model whereby alpha-casein acts directly on breast cancer stem cells, but also stromal cells of the tumour microenvironment by targeting HIF-1alpha (Fig. 6). Alpha-casein inhibits STAT3 activity in breast cancer cells upstream of HIF-1alpha, which leads to a reduction in BCSC activity. Alpha casein also inhibits STAT1 in CAFs which reduces pro-tumorigenic HIF-1alpha signalling in these cells. The inhibition of CSC activity of breast cancer cells by alpha-casein will further reduce tumorigenicity by abrogating the pro-

**Fig. 5** STAT3 and STAT1 function upstream of HIF-1 $\alpha$  in breast cancer cells and fibroblasts respectively. **a** The STAT3 inhibitor Niclosamide inhibits HIF-1 $\alpha$  signalling in MDA-MB-231 cells. **b** Similarly, the STAT1 inhibitor Fludarabine inhibits HIF-1 $\alpha$  signalling in fibroblasts. **c** Niclosamide inhibits the MFE of MDA-MB-231 breast cancer cells by nearly half. All  $n = 9$ . **d** Representative image of spheres after 5 days in non-adherent culture. **e** Niclosamide almost completely abolished the percentage of bright ALDH positive MDA-MB-231 cells.  $n = 6$ . All \*\*  $p < 0.01$ , \*\*\*\*  $p < 0.0001$  vs DMSO control



tumorigenic bi-directional interaction between cancer stem cells and stromal neighbouring cells.

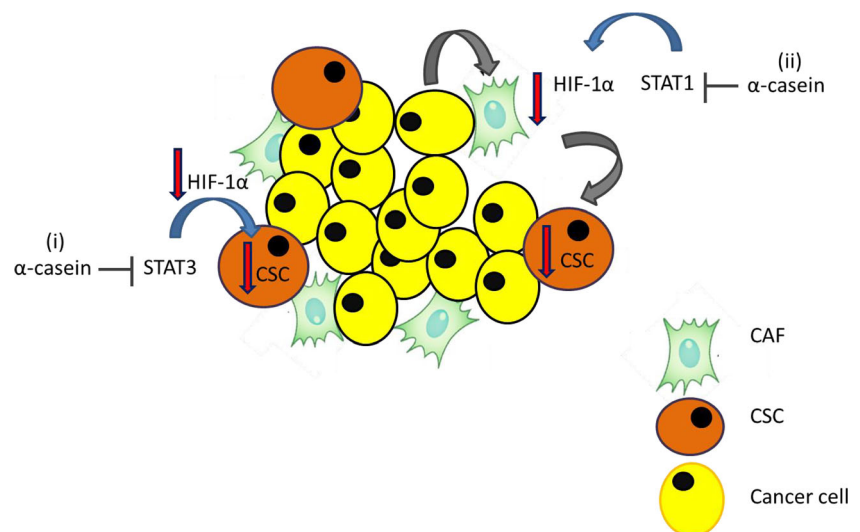
## Discussion

TNBC is the most aggressive breast cancer subtype, and is associated with poor clinical outcome. A major factor governing this lethality is the propensity of TNBC to recur following chemotherapy [33, 34], metastasise [35, 36], and the lack of targeted therapy. One of the major factors responsible for this chemotherapy resistance, and thus high recurrence rates in TNBC is the enrichment of BCSC, particularly in this subtype [9, 10]. Furthermore, chemotherapy, which is the current mainstay treatment for TNBC, has been shown to further enrich for cells with a BCSC phenotype [37, 38], with

the activation of the hypoxia inducible factor HIF-1 $\alpha$  being key in this response [39].

The inhibitory effects of the milk protein  $\alpha$ -casein on mouse mammary tumour burden and experimental lung metastasis in vivo have previously been described [22]. There are a number of possible explanations why extended lactation could be protective, for example, limiting the exposure of the breast to the inflammatory environment of involution, or preventing potentially pre-cancerous cells from proliferating. If lactation is having a protective effect, it must be preventing transformation from normal to pre-cancerous cell, progression from pre-cancerous to tumour cell, or preventing growth and metastasis of the tumour. In this study, we have modelled the final lethal stage, where acquisition of a CSC phenotype promotes invasion and metastasis and provided functional explanation for these findings, where  $\alpha$ -casein disrupts the CSC

**Fig. 6 Schematic representation of the proposed model of alpha-casein mode of action in protection of TNBC.** Alpha-casein has a dual role in inhibiting breast cancer progression via regulation of HIF-1alpha in TNBC. i) Alpha-casein has a direct effect on breast cancer cells by inhibiting STAT3 upstream of HIF-1alpha to reduce BCSC activity. ii) Alpha-casein also regulates HIF-1alpha in fibroblasts that have been activated by breast cancer cells via STAT1



activity of MDA-MB-231 TNBC cells. In future studies it will therefore be important to test the effects of casein on earlier stages of progression.

A role of HIF-1alpha in the propagation and maintenance of this CSC phenotype has been reported [23, 24, 40], and this study further supports these ideas. We investigated the effects of alpha-casein on HIF-1alpha activation and signalling and found it to inhibit HIF1-alpha in TNBC cells, and that STAT3 is a regulator of HIF-1alpha signalling in this context. We used small molecule inhibitors of STAT3 to show its importance in the activation of HIF-1alpha in TNBC cells and downstream BCSC activity, where STAT3 and HIF-1alpha inhibition results in a > 70% reduction in CSC characteristics. STAT3 has previously been implicated in the promotion of CSC activity in hepatocellular carcinoma via activation of the Notch signalling pathway [21]. Herein we have found an alternative mechanism of STAT3 mediated CSC activation in breast cancer, where STAT3 phosphorylation at Y705 and concurrent activation increases BCSC via HIF-1alpha.

Interestingly, alpha-casein also inhibits HIF-1alpha signalling in fibroblasts that have been activated by breast cancer cells, but the mechanism of regulation is via a different STAT transcription factor in these cells, STAT1. As the adoption of this CAF phenotype via HIF-1alpha serves to promote cancer progression by providing metabolites and nutrients to energy-demanding cancer cells [41, 42] and promoting a CSC phenotype [23, 24, 40], alpha-casein may also function via a feedforward inhibition of HIF-1alpha. Here, inhibition of HIF-1alpha signalling decreases the CSC activity, propagation and invasiveness of breast cancer cells, which in turn reduces CAFs in the surrounding stroma.

Thus, alpha-casein has a dual role on inhibiting breast cancer progression. We propose a mechanism (Fig. 6) whereby alpha-casein directly inhibits the CSC activity of transformed breast cancer cells via inhibition of STAT3 and HIF-1alpha.

Alpha-casein also inhibits HIF-1alpha in CAFs to abrogate the pro-tumorigenic reciprocal relationship between CAFs and BCSCs and ultimately cancer progression and metastasis.

## Conclusion

We have provided mechanistic evidence as to how extended periods of lactation can potentially protect against TNBC. In the present study, we describe a new role of the milk protein alpha-casein as a negative regulator of CSC activity and transformation to a CAF phenotype in TNBC via HIF-1alpha (Fig. 6). This work indicates that targeting STAT1 and STAT3 using small molecule drugs could be beneficial to patients in the clinic.

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**Data Availability** Datasets used and/or analysed to support our findings are available from the corresponding author upon reasonable request. Datasets for patient samples are explicitly referenced where used, and in Statistical Methods.

## Compliance with Ethical Standards

**Ethics Approval and Consent to Participate** Not applicable.

**Consent for Publication** We have agreement from all authors (Kirsten Garner, Rebecca Lamb, Andy Sims and Robert Clarke) to submit this manuscript for publication.

**Conflict of Interest** The authors declare that they have no competing interests.

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